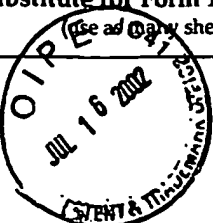




PTO/SB/088 (10-01)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO (Modified) (Use additional sheets as necessary) Page 1 of 8 	Attorney Docket No.: 3801P026	Application Number: 09/740,502
	First Named Inventor:	Barry Boone
	Filing Date:	December 19, 2000

### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		5,790,790		Smith et al.	08/04/1998	
		5,845,265		Woolston	12/01/1998	
		6,047,264		Fisher et al.	04/04/2000	
		6,061,448		Smith et al.	05/09/2000	
		6,085,176		Woolston	07/04/2000	
		6,119,137		Smith et al.	09/12/2000	
		US 6,178,408	B1	Copple et al.	01/23/2001	
		US 6,192,407	B1	Smith et al.	02/20/2001	
		US 6,202,051	B1	Woolston	03/13/2001	
		US 6,243,691	B1	Fisher et al.	06/05/2001	

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### FOREIGN PATENT DOCUMENTS

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		Office <sup>3</sup> Code <sup>3</sup>	Number <sup>4</sup>	Kind (If known)				

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete If Known</b>	
Application Number				09/740,502	
Filing Date				December 19, 2000	
First Named Inventor				Barry Boone	
Art Unit				2152	
Examiner Name				Not Yet Assigned	
Attorney Docket Number				3801P026	

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

**Sheet 1 of 2**

**Complete if Known**

Application Number	09/740,502
Filing Date	December 19, 2000
First Named Inventor	Barry Boone
Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket Number	3801P026

Filing Date	December 19, 2000
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First Named Inventor	Barry Boone
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Art Unit	2152
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<b>Examiner Name</b>	<b>Not Yet Assigned</b>
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Attorney Docket Number	3801 P026
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## FOREIGN PATENT DOCUMENTS

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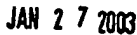
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete If Known**

Application Number	09/740,502
Filing Date	December 19, 2000
First Named Inventor	Barry Boone
Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket Number	3801P026

Sheet	2	of	2
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## OTHER ART - NON PATENT LITERATURE DOCUMENTS

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\*Applicant's unique citation designation number. \*Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	09/740,502
				Filing Date	December 19, 2000
				First Named Inventor:	Barry Boone
				Group Art Unit	2152
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	3801.P026

U.S. PATENT DOCUMENTS						
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		5,924,072		Havens	7/13/1999	
		5,926,794		Fethe	7/20/1999	
		5,974,412		Hazelhurst, et al	10/26/1999	
		5,991,739		Cupps, et al	11/23/1999	
		6,029,141		Bezos, et al	2/22/2000	
		6,044,363		Mori, et al.	3/28/2000	
		6,055,518		Franklin, et al.	4/25/2000	
		6,058,417		Hess, et al.	5/2/2000	
		6,073,117		Oyanagi, et al.	6/6/2000	
		6,266,649		Linden, et al	6/24/2001	
	1006		6,275,811	B1	Ginn	8/14/2001

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Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
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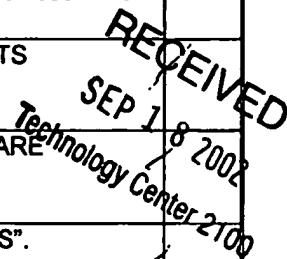
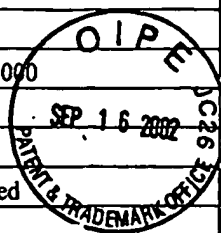
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				Filing Date	December 19, 2000
				First Named Inventor:	Barry Boone
				Group Art Unit	2152
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	3801.P026
<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
MB		"BEYOND.COM ADDS CUSTOMER RATINGS TO WEB SITE; FIRST INTERNET STORE TO POST COMPREHENSIVE ONLINE BUYERS' GUIDE TO SOFTWARE" Business Wire. 16 November 1998.			/
		Caruthers, Crystal. "HOME BUILDER HAS CUSTOMER SATISFACTION AS ITS CORNERSTONE". Daily Herald. 25 November 1998.			
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		Resnick, Paul et al. Reputation Systems. Communications of the ACM, v43, n12, pp. 45-48			
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		Meade, Jim. Visual 360: a performance appraisal system that's fun. HR Magazine, V44, n7, p.118			
		Patience, Nick. EPINIONS LAUNCHES ONLINE SHOPPING GUIDE BUILT ON TRUST. Computergram International. 10Sept. 1999. Pages 1-2.			
		Nielsen, Jacob. REPUTATION MANAGERS ARE HAPPENING. Alertbox., 5 Sept., 1999.			
		Epinions.com, What is the Web of Trust. Epinions.com FAQ sheet. Downloaded 11/13/2001 from the internet URL <a href="http://www.epinions.com/helpfaq/?show=faqwot#001">http://www.epinions.com/helpfaq/?show=faqwot#001</a> .			
		"REGRET: A reputation model for gregarious societies", Jordi Sabater IIAA-Artificial Intelligence Research Institute, Carles Sierra, IAAA-Artificial Intelligence Research Institute. Pages 1-9.			
MB		Proceedings of the 32 <sup>nd</sup> Hawaii International Conference on System Sciences-1999, "Collaborative Reputation Mechanisms in Electronic Marketplaces", Giorgos Zacharia, Alexandros Moukas and Pattie Maes, MIT Media Laboratory. Pages 1-19.			



Substitute for Form 1449A/PTO (Modified)				Complete if Known		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT<sup>1</sup></b> (use as many sheets as necessary)				Application Number	09/740,502	
				Filing Date	December 19, 2000	
				First Named Inventor:	Barry Boone	
				Group Art Unit	2152	
				Examiner Name	Not yet assigned	
Sheet 1 of 2				Attorney Docket Number	3801P026	
<b>U.S. PATENT DOCUMENTS</b>						
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		Number Code <sup>2</sup>	Kind (If known)			
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		5,905,974		Fraser et al.	05-18-1999	
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		6,058,417		Hess et al.	05-02-2000	
		6,055,518		Franklin et al.	04-25-2000	
		6,073,117		Oyanagi et al.	06-06-2000	
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		5,722,418		Bro	03-03-1998	
		5,974,412		Hazlehurst et al.	10-26-1999	
		5,924,072		Havens	07-13-1999	
		6,237,059		Dean et al.	05-22-2001	
		5,566,291		Boulton et al.	10-15-1996	
		5,537,618		Boulton et al.	07-16-1996	
		6,236,977		Verba et al.	05-22-2001	
	6,199,049		Conde et al.	03-06-2001		
	6,101,489		Lannert et al.	08-08-2000		
	6,148,299		Yoshimoto	11-14-2000		
	6,199,049		Conde et al.	03-06-2001		

FOREIGN PATENT DOCUMENTS								
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
[Handwritten mark]		WO	95/17711		DIACOM TECHNOLOGIES, INC.	06-29-1995		

Examiner Signature	[Handwritten Signature]	Date Considered	8/20/04
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT<sup>1</sup></b> (use as many sheets as necessary)				Application Number	09/740,502
				Filing Date	December 19, 2000
				First Named Inventor:	Barry Boone
				Group Art Unit	2152
				Examiner Name	Not yet assigned
Sheet	2	of	2	Attorney Docket Number	3801P026
OTHER ART AND PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
AMB		MEADE, JIM, "Visual 360: a performance appraisal system that's 'fun'", <u>HRMagazine</u> , July 1999 (Dialog file).			
AMB		RESNICK, PAUL, et al., "Reputation systems", Association for Computing Machinery, <u>Communications of the ACM</u> , Vol. 43, No. 12, pp. 45-48 (Dialog file).			

Examiner Signature		Date Considered	8/20/04
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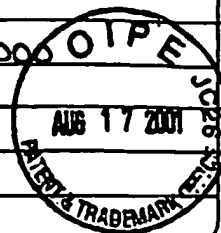


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			Application Number	09/740,502	
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			Group Art Unit	2152	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	7	Attorney Docket Number	003801.P026



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MB		3,581,072	Nymayer	5/25/1971	3/28/1968
		3,573,747	Adams, et al.	4/6/1971	2/24/1969
		4,412,287	Braddock, III	10/25/1983	9/15/1982
		4,674,044	Kalmus, et al.	4/16/1997	1/30/1985
		4,677,552	Sibley, Jr.	6/30/1987	10/5/1984
		4,789,928	Fujisaki	12/6/1988	1/30/1987
		4,799,156	Shavit, et al.	1/17/1989	10/1/1986
		4,823,265	Nelson	4/18/1989	5/11/1987
		4,903,201	Wagner	2/20/1990	11/3/1983
		5,063,507	Lindsey, et al.	11/5/1991	9/14/1990
		5,077,665	Sliverman, et al.	12/31/1991	5/25/1989
MB		5,101,353	Lupien, et al.	3/31/1992	5/31/1989

FOREIGN PATENT DOCUMENTS							
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		Office or Country	Number	Date			
MB		PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
MB		PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
MB		PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS			
Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
MB		MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	/
/		WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	Technology Center Aug 20 2001
/		"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
MB	✗	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	2001

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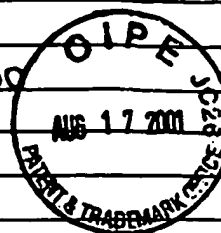


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		Application Number	09/740,502		
		Filing Date	December 19, 2000		
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		Group Art Unit	2152		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	7	Attorney Docket Number	003801.P026



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005	5,136,501	Silverman, et al.	8/4/1992	5/26/1989
	5,168,446	Wiseman	12/1/1992	5/23/1989
	5,243,515	Lee	9/7/1993	10/30/1990
	5,258,908	Hartheimer, et al.	11/2/1993	11/2/1990
	5,280,422	Moe, et al.	1/18/1994	11/5/1990
	5,297,031	Guterman, et al.	3/22/1994	3/6/1990
	5,297,032	Trojan, et al.	3/22/1994	2/1/1991
	5,305,200	Hartheimer, et al.	4/19/1994	11/2/1990
	5,325,297	Bird, et al.	6/28/1994	6/25/1992
	5,329,589	Fraser, et al.	7/12/1994	6/3/1993
	5,375,055	Togher, et al.	12/20/1994	2/3/1992
005	5,394,324	Clearwater	2/28/1995	12/8/1993

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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
005	Netherland	9300266	2.10.1993			
005	France	2658635-A1	2.6.1991			
005	Canada	2,253,543	3/19/1997			Yes

### OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
005	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
1	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
1	POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10, No. 3	
005	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

Examiner Signature		Date Considered	8/20/04
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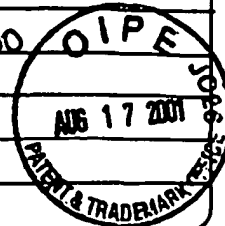
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		Application Number	09/740,502
		Filing Date	December 19, 2000
		First Named Inventor	Barry Boone
		Group Art Unit	2152
Examiner Name	Not Yet Assigned		
Attorney Docket Number	003801.P026		
Sheet	3	of	7

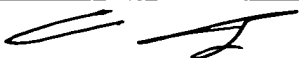


U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
MB	5,426,281	Abecassis	6/20/1995	10/29/1993
	5,553,145	Micali	9/3/1996	8/4/1995
	5,640,569	Miller, et al.	6/17/1997	4/28/1995
	5,664,115	Fraser	9/2/1997	6/7/1995
	5,689,652	Lupien, et al.	11/18/1997	4/27/1995
	5,710,889	Clark, et al.	1/20/1998	6/7/1995
	5,715,402	Popolo	2/3/1998	11/9/1995
	5,717,989	Tozzoli, et al.	2/10/1998	10/13/1994
	5,727,165	Ordish, et al.	3/10/1998	12/27/1994
	5,794,219	Brown	8/11/1998	2/20/1996
	5,818,914	Fujisaki	10/6/1998	3/27/1995
MB	5,826,244	Huberman	10/20/1998	8/23/1995


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OTHER DOCUMENTS		
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MB	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , Mar 1996, Pages 363-366, Vol. 39, No. 3	
	LEE, G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	
MB	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	

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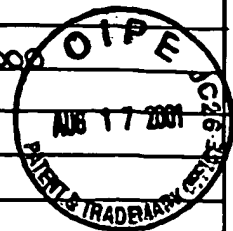
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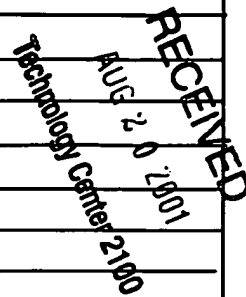
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		First Named Inventor	Barry Boone
		Group Art Unit	2152
Examiner Name	Not Yet Assigned		
Sheet 4 of 7	Attorney Docket Number	003801.P026	



U.S. PATENT DOCUMENTS				
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MB	5,835,896	Fisher, et al.	11/10/1998	3/29/1996
MB	5,845,266	Lupien, et al.	12/1/1998	12/12/1995
MB	5,850,442	Muftic	12/15/1998	3/26/1996




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OTHER DOCUMENTS		
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MB	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
MB	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

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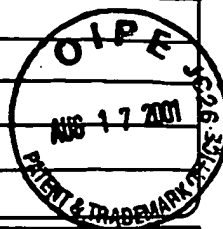
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		First Named Inventor	Barry Boone
		Group Art Unit	2152
Examiner Name	Not Yet Assigned		
Sheet 5 of 7	Attorney Docket Number	003801.P026	




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OTHER DOCUMENTS		
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MB	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10	
/	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Given, Pages 1-10	
/	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-3	
MB	RECK, Martin., "Trasing-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

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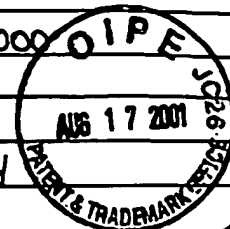
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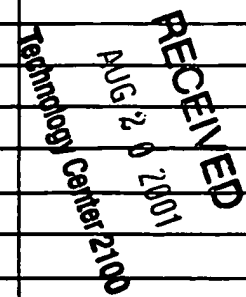
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



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		Application Number	09/740,502
		Filing Date	December 19, 2000
		First Named Inventor	Barry Boore
		Group Art Unit	2152
Examiner Name	Not Yet Assigned		
Attorney Docket Number	003801.P026		
Sheet	6	of	7

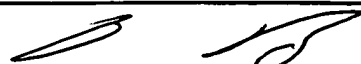


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FOREIGN PATENT DOCUMENTS							
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OTHER DOCUMENTS			
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		VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6	✓
		LEE, HG., "Aucnet: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given, Pages 1-5	✓
		KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-4	✓
		TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5	✓

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				First Named Inventor	Barry Boone
				Group Art Unit	2152
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	003801.P026
Sheet	7	of	7		

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<i>DAF</i>		ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol, 1, No. 1	



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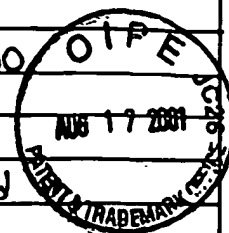


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		Filing Date	December 19, 2000
		First Named Inventor	Barry Boone
		Group Art Unit	2152
Examiner Name	Not Yet Assigned		
Sheet 1 of 1	Attorney Docket Number	003801.P026	



U.S. PATENT DOCUMENTS				
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W	5,205,200	Wright	4/27/93	1/9/92
	5,761,655	Hoffman	6/2/98	3/10/94
	5,905,975	Ausubel	5/18/99	1/2/97
	5,835,896	Fisher et al.	11/10/98	3/29/96
	5,845,265	Woolston	12/1/98	11/7/95
	5,890,138	Godin et al.	3/30/99	8/26/96
	4,789,928	Fujisaki	12/6/1988	1/30/1987
	4,864,516	Gaither et al.	9/5/1989	3/10/1986
	5,706,457	Dwyer et al.	1/6/98	6/7/95
	5,557,728	Garrett et al.	9/17/96	11/22/94
	5,771,380	Tanaka et al.	6/23/98	10/7/96
W	5,884,056	Steele	3/16/99	12/28/95

FOREIGN PATENT DOCUMENTS							
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MB	5,884,056	Steele	3/16/99	12/28/95
	5,598,557	Doner et al.	1/28/97	9/22/92
	5,694,546	Reisman	12/2/97	5/31/94
	5,873,069	Reuhl et al.	2/26/99	10/13/95
	5,890,138	Godin et al.	3/30/99	8/26/96
	5,803,500	Mossberg	9/8/98	3/27/97
	5,799,285	Klingman	8/25/98	8/30/96
	5,715,314	Payne et al.	2/3/98	10/24/94
	5,485,510	Colbert	1/16/96	9/1/94
	5,657,389	Houvener	8/12/97	5/8/95
	5,771,291	Newton et al.	6/23/98	12/11/95
MB	5,872,848	Romney et al.	2/26/99	2/18/97

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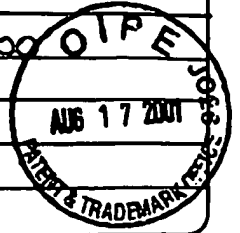
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			Group Art Unit	2152	
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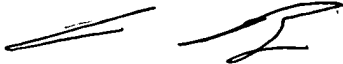


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	Number				
CAF		5,922,074	Richard et al.	7/13/99	2/28/97
CAF		6,035,402	Vaeth et al.	3/7/2000	12/20/96
CAF		6,104,815	Alcorn et al.	8/15/2000	1/9/98
CAF		5,760,917	Sheridan	6/2/98	9/16/96

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<i>CAF</i>	PCT	WO 99/63461	5/20/99	Dinwoodie	12/9/99	
<i>CAF</i>	PCT	US99/18510	10/23/98		8/12/99	
<i>CAF</i>	PCT	US00/32088	4/4/2001		11/20/2000	

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<i>CAF</i>	CHRIS PREIST, MARTEN VAN TOL, "International Conference on Information and Computation Economies", Adaptive Agents in a Persistent Shout Double Auction, (c) 1999, Pages 2.	

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